



# ARTIFICIAL INTELLIGENCE AND DEEP LEARNING IN HEALTHCARE

CASE STUDIES:

- (1) CARDIAC RESYNCHRONIZATION THERAPY (CRT)
- (2) KNEE TOTAL JOINT ARTHROPLASTY RESEARCH

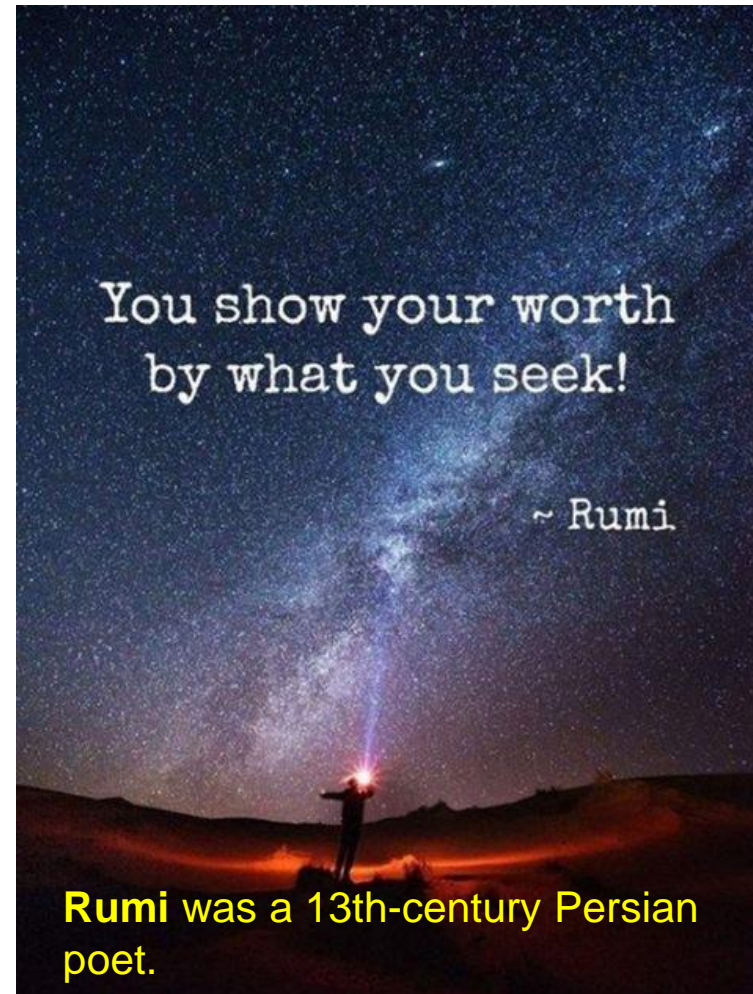
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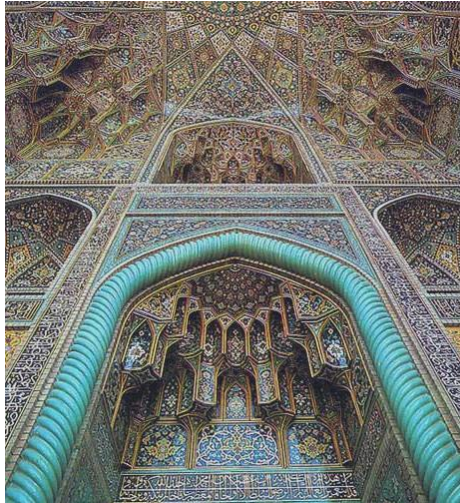
<http://aptafti.github.io>

# WHO AM I?



<https://www.pinterest.com/pin/336855247124113773/>  
<https://en.wikipedia.org/wiki/Rumi>

# WHERE AM I COMING FROM?



<https://www.google.com/maps>

## Mashhad

**Population:** ~ 6,000,000 with over 25 million tourists per year

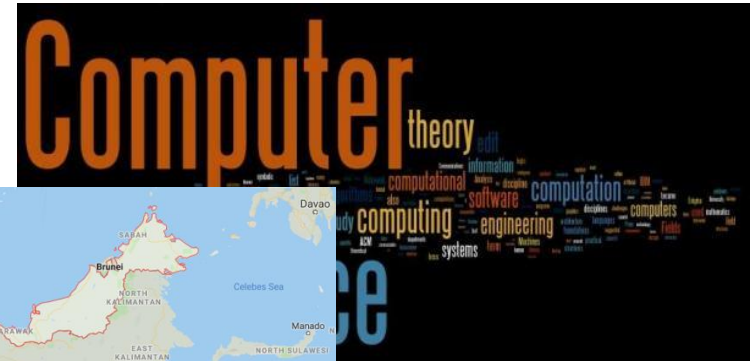


<https://en.wikipedia.org/wiki/Mashhad>





# WHAT/WHERE DID I STUDY?



academics-and-  
programs/computer-science



<https://brand.utexas.edu/identity/logos/>



<https://uwm.edu/>





# A LITTLE BIT ABOUT MY FAMILY...



**Elham (Ellie) Sagheb**  
Informatics Specialist  
Division of Digital Health Sciences  
Mayo Clinic



Sara, 2017



Elham (Ellie) and Ahmad, 2018



Sara, 2019



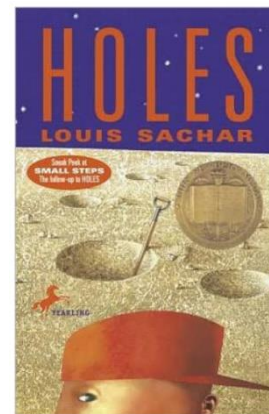
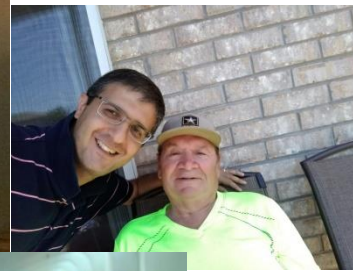
Sara, 2018



Sara, 2019



# WHAT DO I LIKE TO DO?





# I DO LIKE PARTICIPATING SCIENTIFIC COMMUNITIES



# MAIN COLLABORATORS/BIG BOSSES



Nilay Shah, PhD  
Chair, Division of Health Care Policy & Research  
Department of Health Sciences Research  
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Mayo Clinic, Rochester, MN



I am pretty much interested in utilizing **AI** to:

- Computationally interpret **medical images** (e.g., X-rays, CTs, MRIs, US)
- Computationally interpret **medical text data** (e.g., clinical notes, radiology reports, patient portal messages)



Message #AABBCCDD: I recently had another heart . I was in . I decided to . Still having chest pain, and breathing problems have now in 15 months . The cardiac beginning next Tuesday November Wednesday. year .

■ Symptom  
■ Time expression

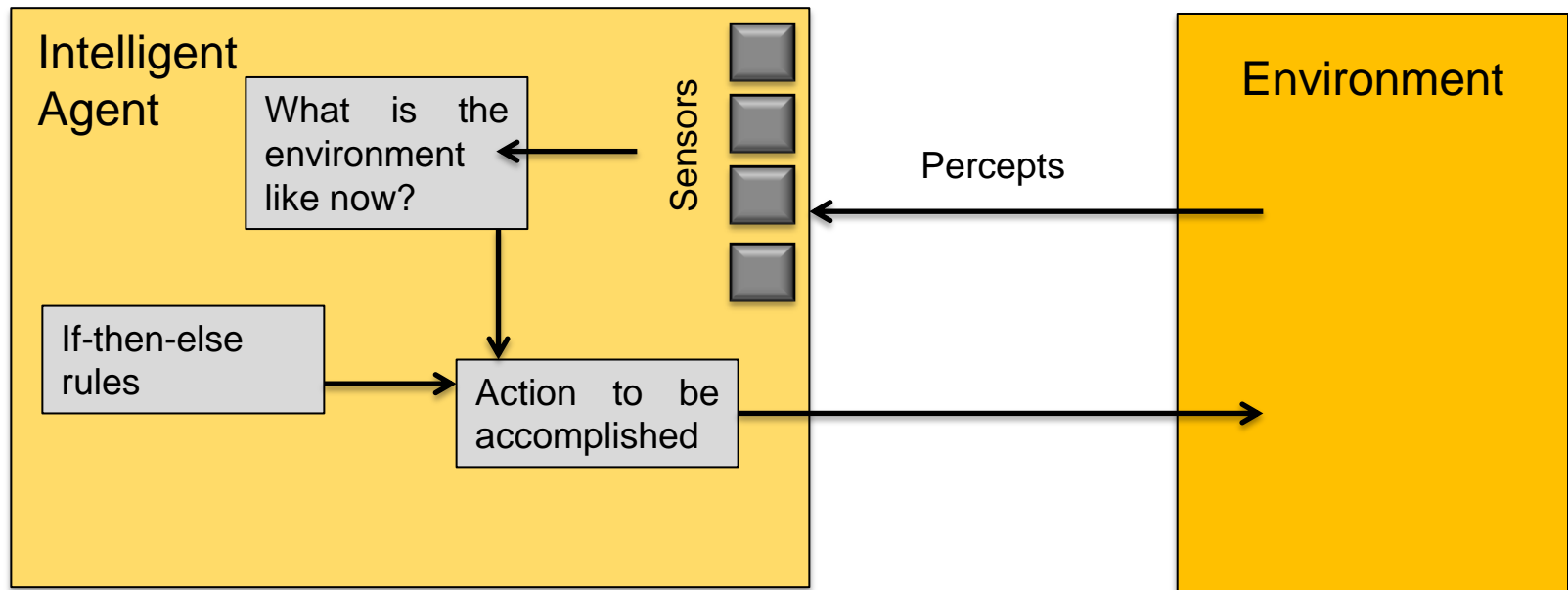
# OUTLINE

- Artificial Intelligence; What and Why?
- Deep Learning; What and Why?
- Deep Learning Computational Vision; What and Why?
- AI Adoption in Healthcare
- Deep Learning to Advance Cardiac Resynchronization Therapy (CRT)
- Deep Learning Computational Vision to Advance Knee Osteoarthritis (OA) and Knee Total Joint Arthroplasty (TJA) Research



# ARTIFICIAL INTELLIGENCE; WHAT AND WHY?

- AI is a computer system that can **sense its environment**, **think**, **learn**, and **take action** in response to what it is sensing and its objectives.



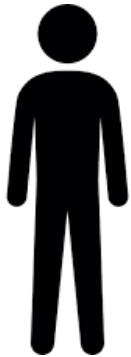
# ARTIFICIAL INTELLIGENCE; WHAT AND WHY?



Speech Recognition



NLP



Computer Vision  
Image Processing



Robotics



Pattern Recognition





# RULE-BASED VERSUS LEARNING-BASED AI

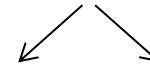


Step



Door

How to implement **singularities**???

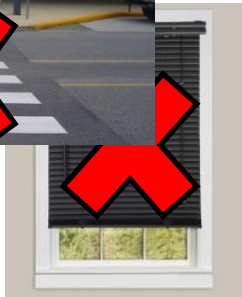
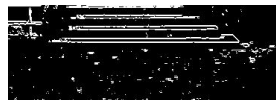
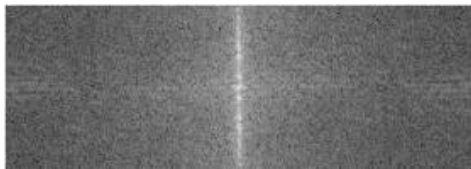


Rule-based algorithms

Learning-based algorithms

**Deep and machine learning** strategies vs. **Traditional** (rule-based) methods

Traditional (there is no any learning technique)



Deep and machine learning techniques

We train computers at recognizing doors from steps by showing them a **large amount** of:

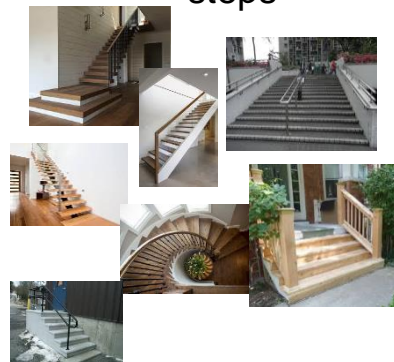
(object\_type, picture) pairs.



steps



doors



# MACHINE LEARNING STRATEGIES

## Machine Learning Tasks

### Descriptive Machine Learning Algorithms (What happened?)

- **Clustering:** Grouping of samples (instances) given un-labeled data.
- **Summarization:** Finding a compact description for a data set.
- **Association Rules:** Discovering interesting relations between variables in a large DB.

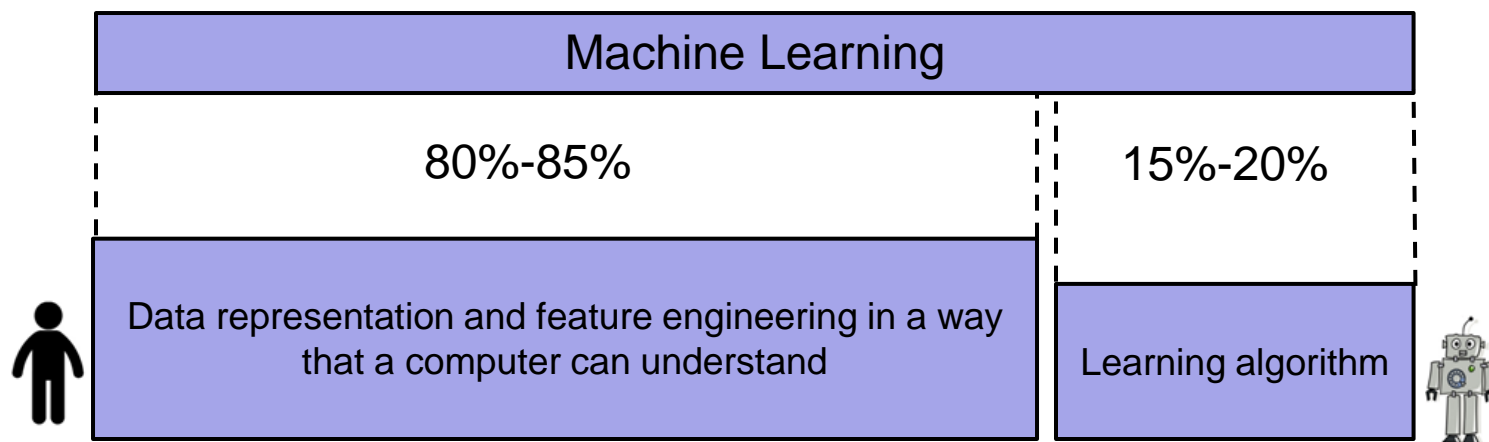
### Predictive Machine Learning Algorithms (What will be happened?)

- **Regression:** Attempting to predict a continuous attribute.
- **Classification:** Predicting the sample (instance) class from pre-labeled samples.



# WHAT IS THE PROBLEM WITH MACHINE LEARNING?

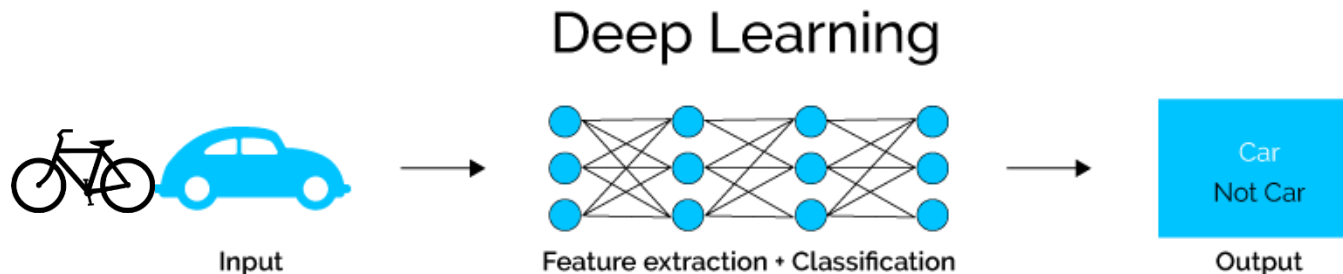
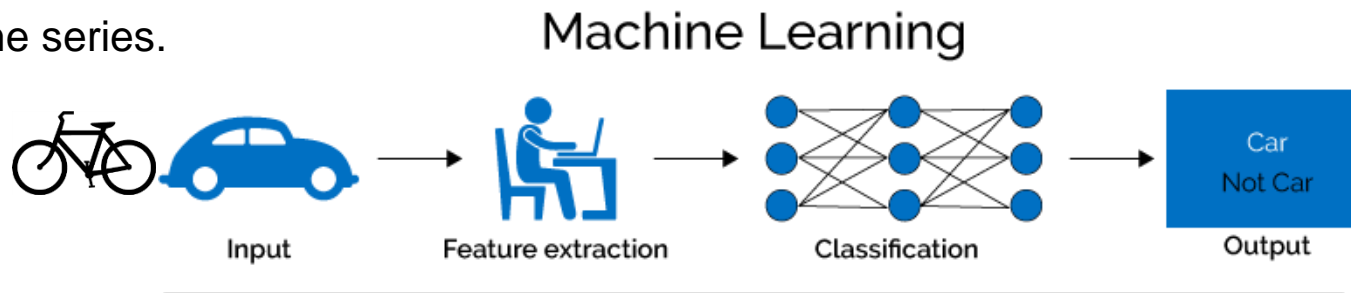
- Most **machine learning** methods work well because of **human-designed representation** and **input features**.
- **Machine learning** becomes **just optimizing weights** to best make a final prediction.



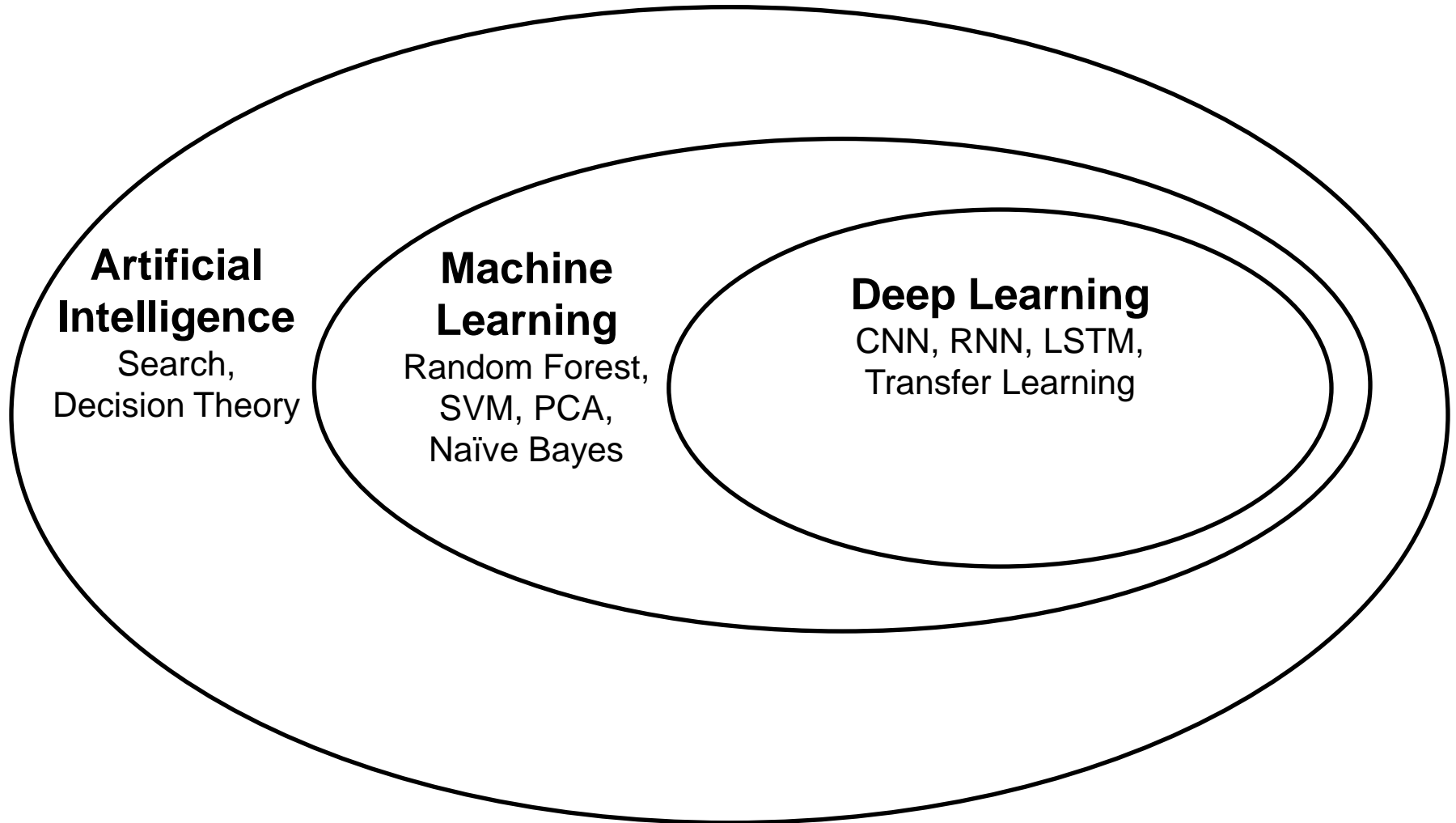
- **Problem**: Manually designed features are often **over-specified**, **incomplete**, and take a long time to **design** and **validate**.

# WHAT IS DEEP LEARNING?

- **Deep Learning algorithms** attempt to **automatically learn good features** or **representation**.
- **Deep Learning** provides a very **flexible** and **universal** learnable framework for representing a variety of data types, such as visual data, linguistics, audio streams, and time series.

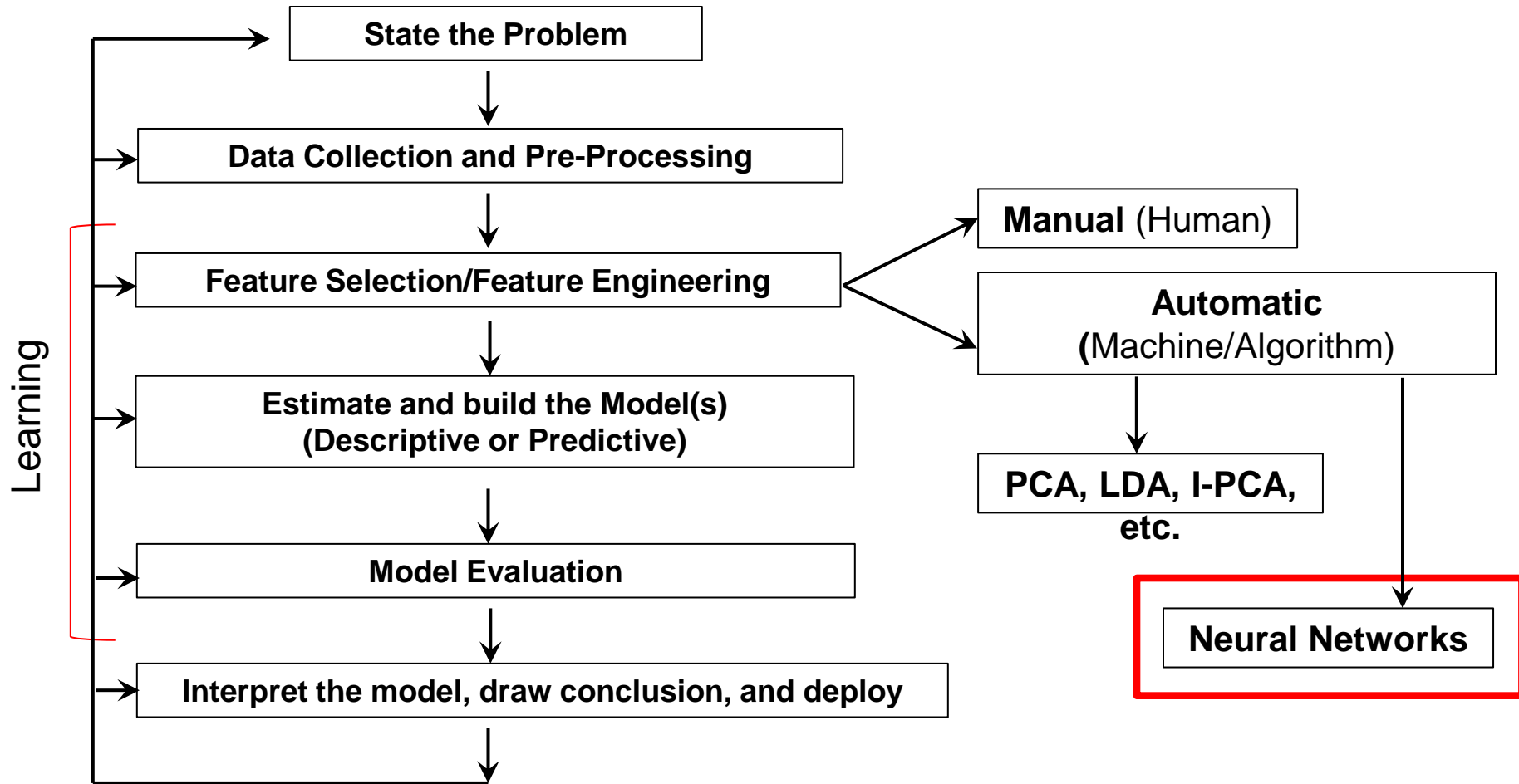


# DEEP LEARNING; WHAT AND WHY?

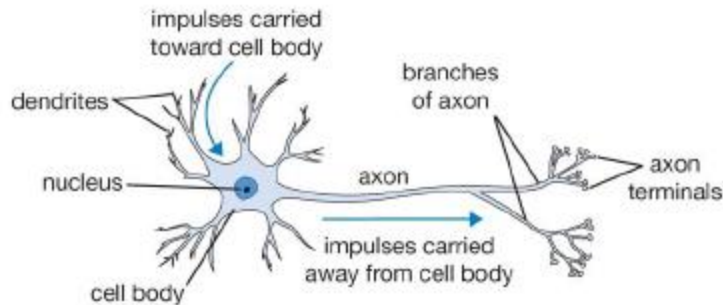




# MACHINE LEARNING PIPELINE AND FEATURE SELECTION

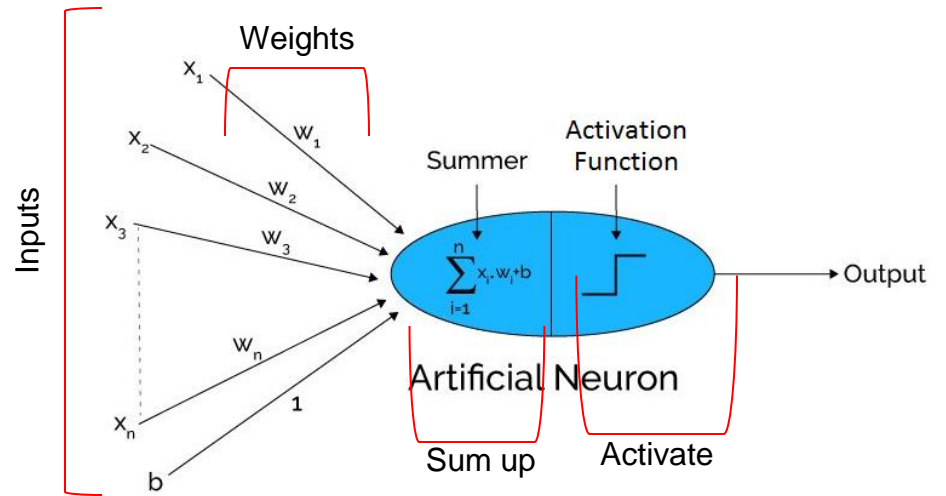


# NEURAL NETWORKS



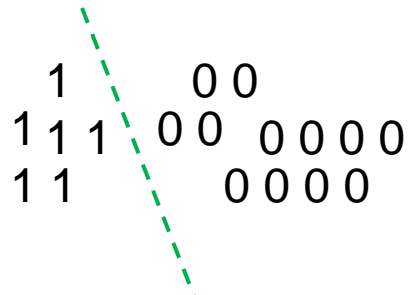
**Neuron:** Computational building block for the “Brain”

**Human Brain:** ~100 to 1000 trillion synapses

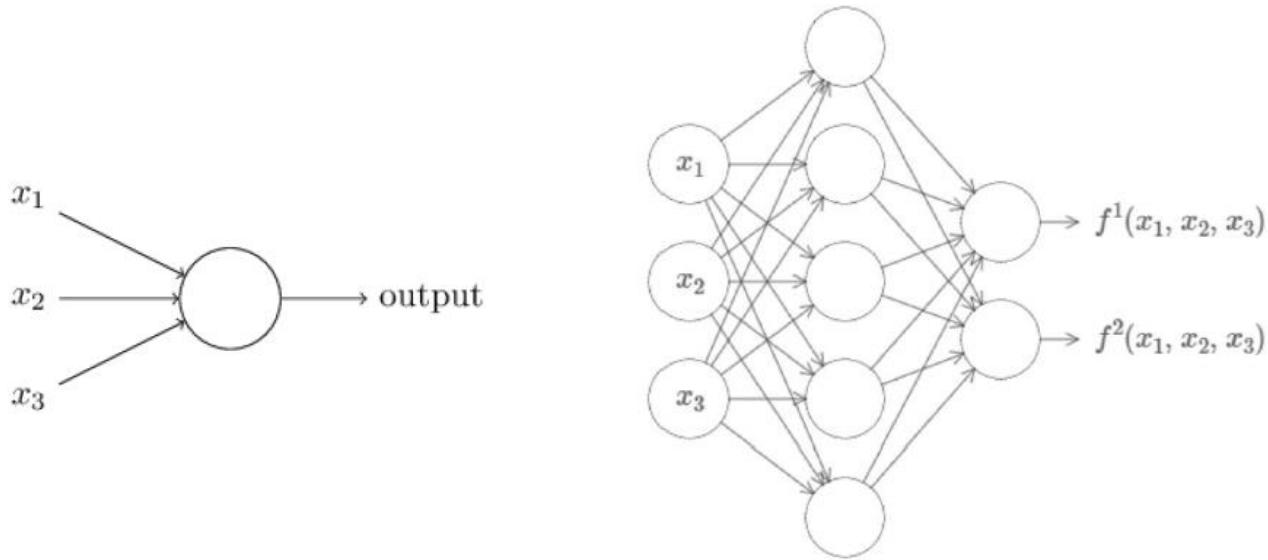


**Artificial Neuron:** Computational building block for the “Neural Networks”

**Neural Network:** ~1 to 10 billion synapses



# NEURAL NETWORKS ARE AMAZING

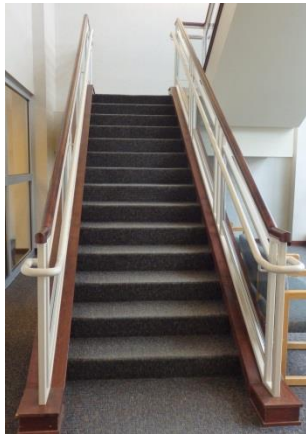


**Universality:** for any arbitrary function  $f(x)$ , there exists a neural network that closely approximates it for any input  $x$ .

**Universality is an incredible property to neural networks, and it holds for just 1 hidden layer.**



# LINEAR CLASSIFICATIONS USING NEURAL NETWORKS



[40 \* 60 \* 3]

Input image

Parameters

$$f(x, W) = Wx + b$$

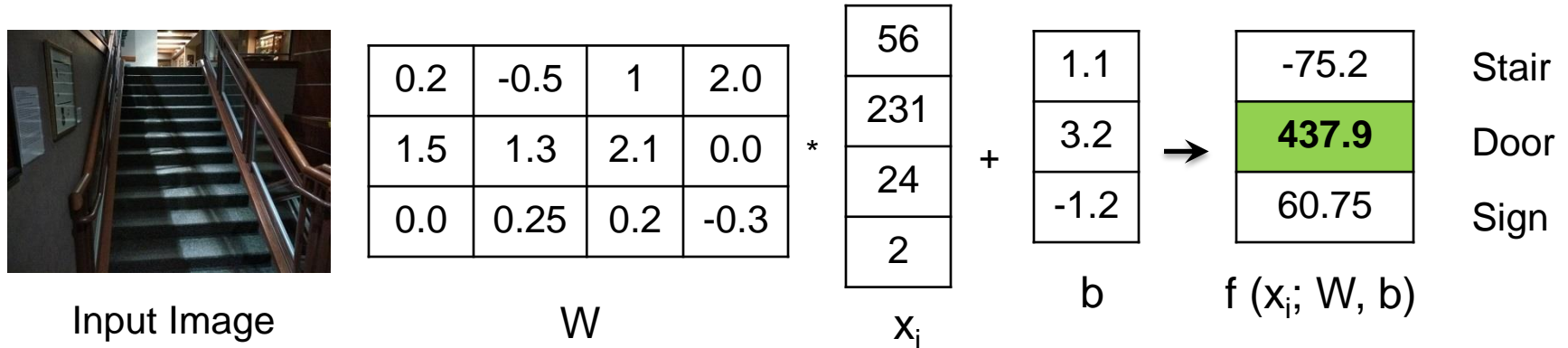
Stair: 5.4

Door: -3.7

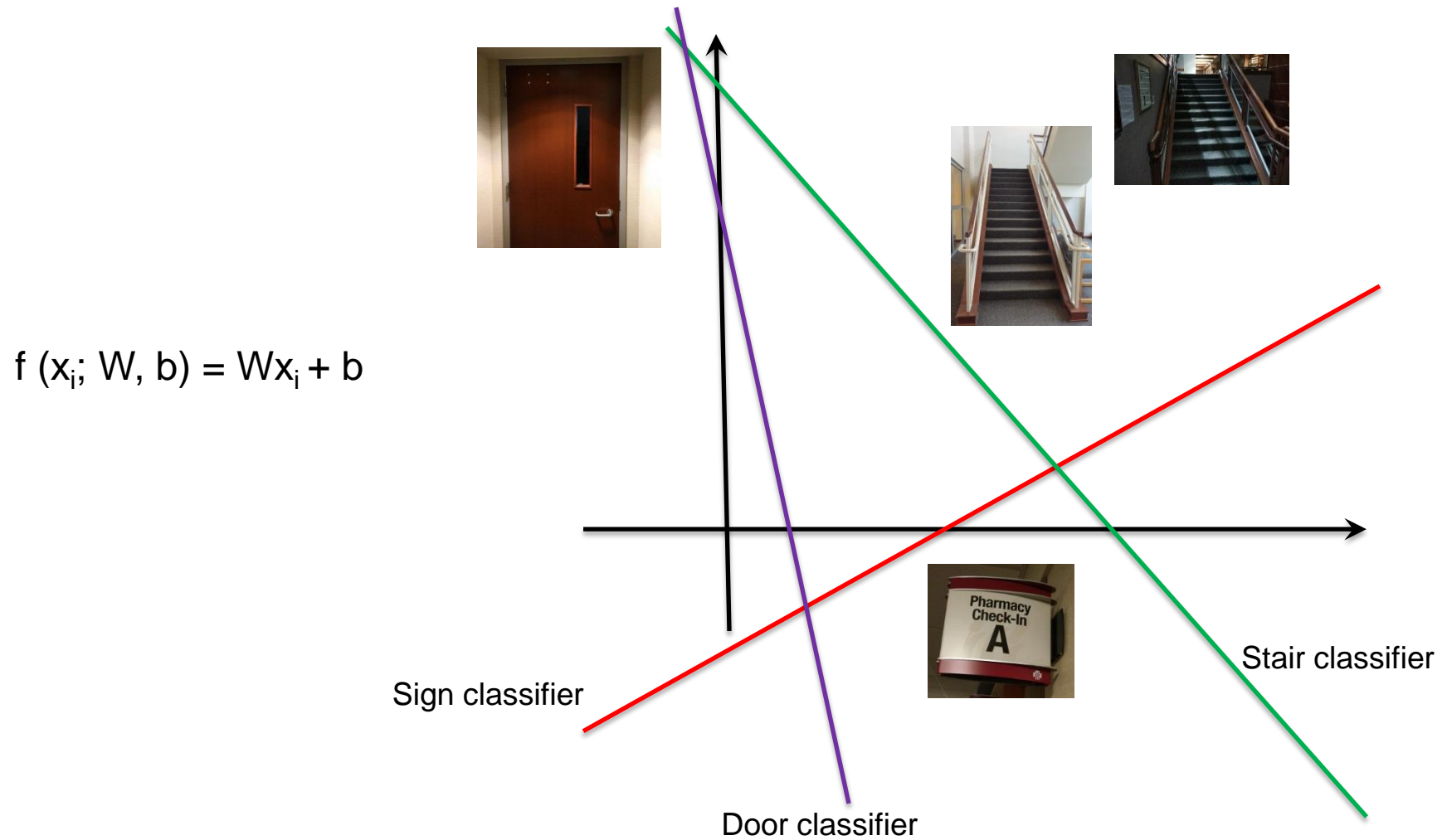
Sign: 2.9

# LINEAR CLASSIFICATIONS USING NEURAL NETWORKS

3 Classes AND 4 Features



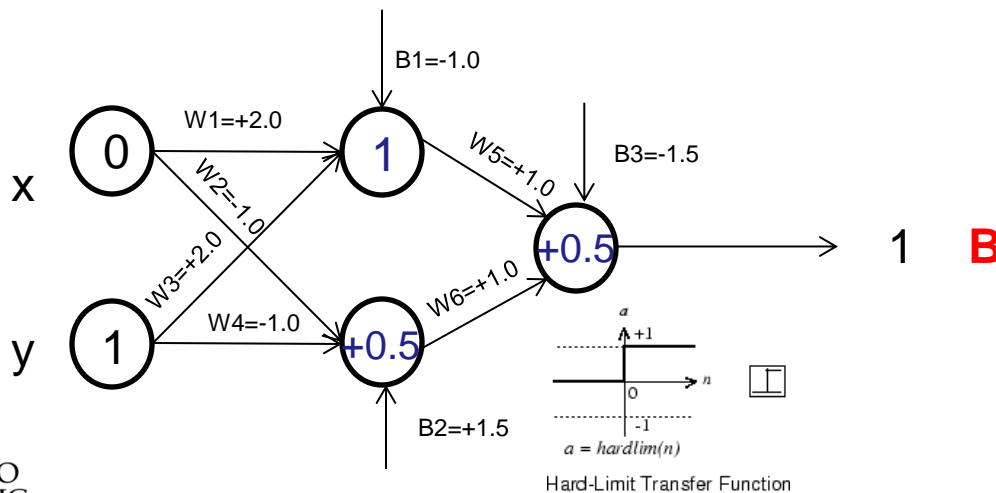
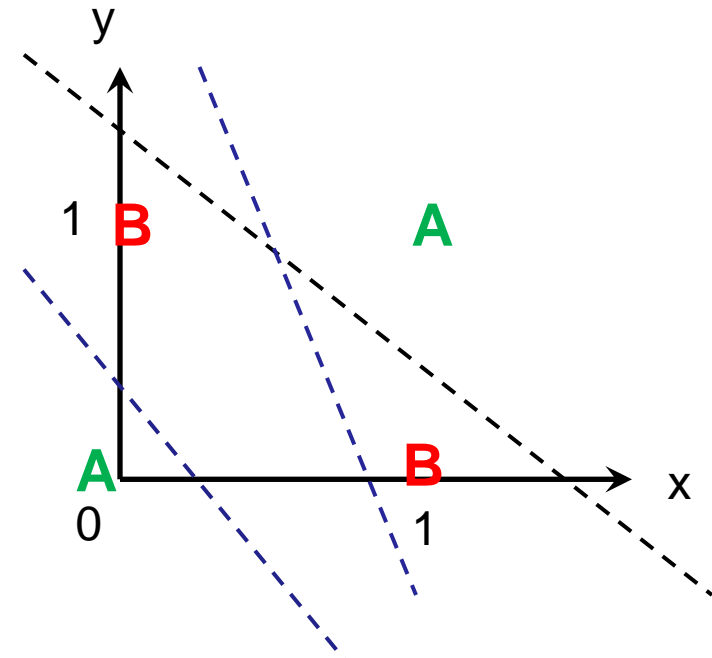
# LINEAR CLASSIFICATIONS USING NEURAL NETWORKS



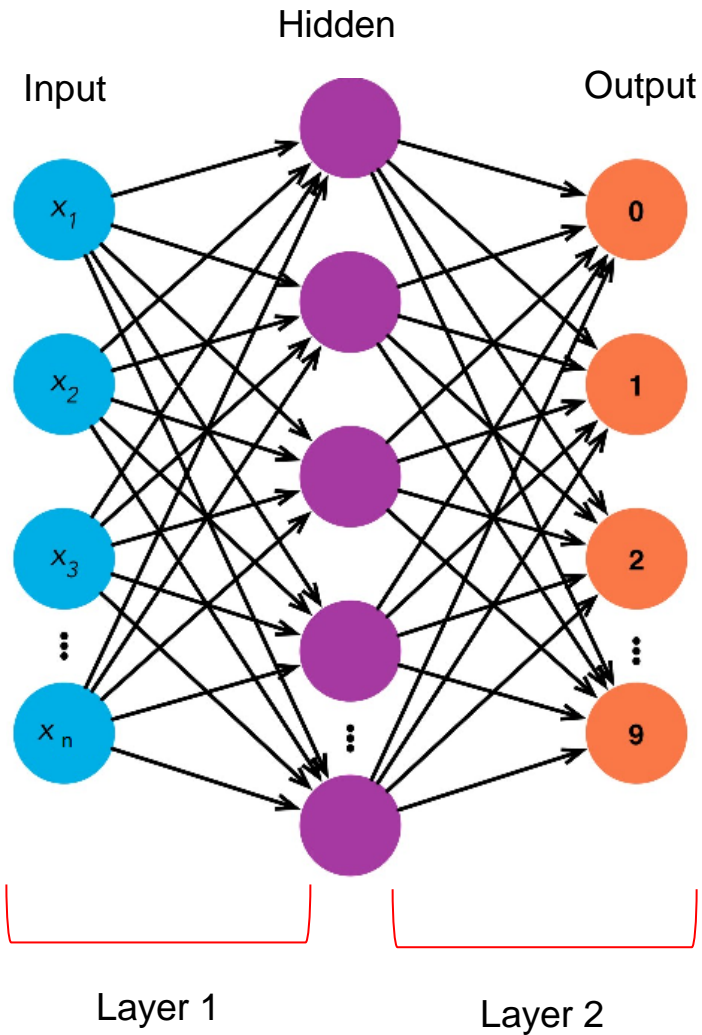


# NON-LINEAR CLASSIFICATIONS USING NEURAL NETWORKS

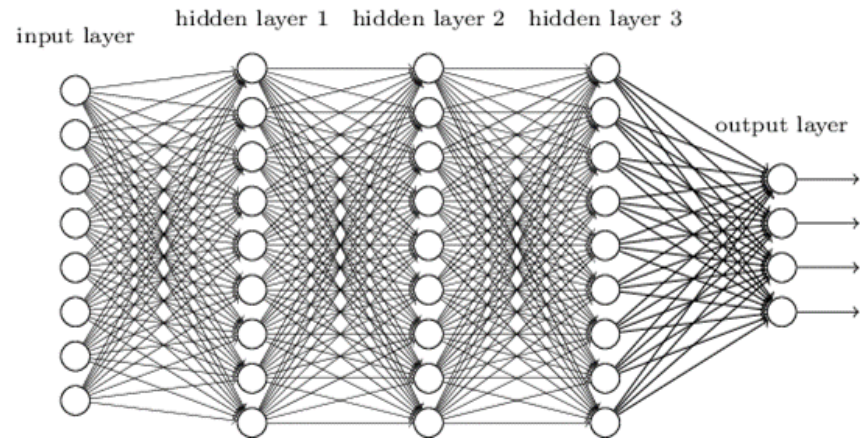
x	y	x XOR y	Class
0	0	0	A
0	1	1	B
1	0	1	B
1	1	0	A



# SHALLOW NEURAL NETWORK



## Deep neural network



<https://datawarrior.wordpress.com/2016/04/16/relevance-and-deep-learning/>

# CONVOLUTIONAL NEURAL NETWORKS: WHY?

- Why do shallow fully connected neural networks not work when the input is an image?

- There are two main reasons:

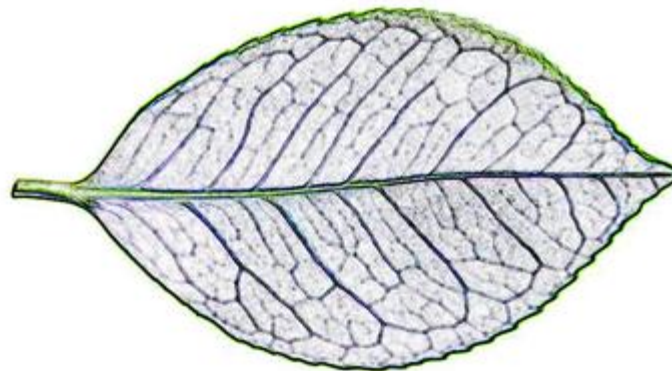
(1) The input consists of **3,000,000** numbers, therefore many weights are needed for each node in the hidden Layer. Saying **100** nodes in the first layer, this corresponds to **300,000,000** weight parameters required to define only this layer. More **parameters** mean **more training data** is needed to prevent **overfitting**. This leads to more time required to train the model.

(2) Processing by Fully Connected Deep Feed Forward Networks requires that the image data be transformed into a linear 1-D vector. This results in a **loss of structural information**, including correlation between pixel values in 2-D.

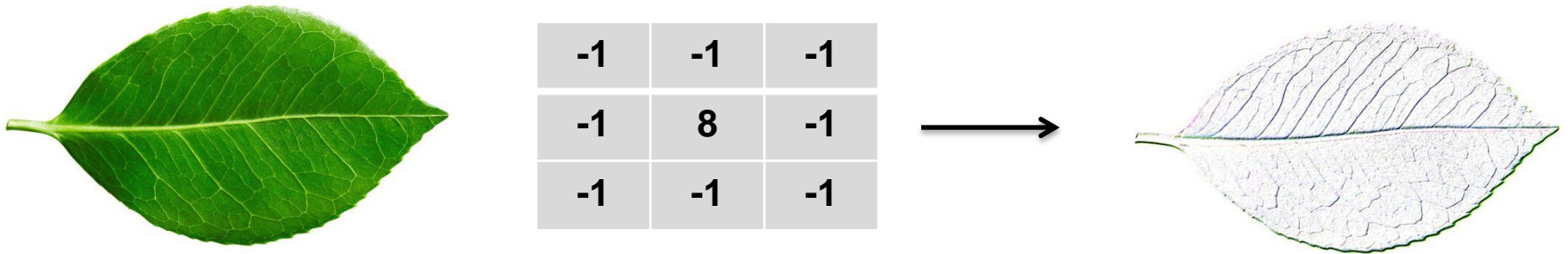


$$[1000 * 1000 * 3] = 3,000,000$$

# CONVOLUTIONAL NEURAL NETWORKS: WHY?

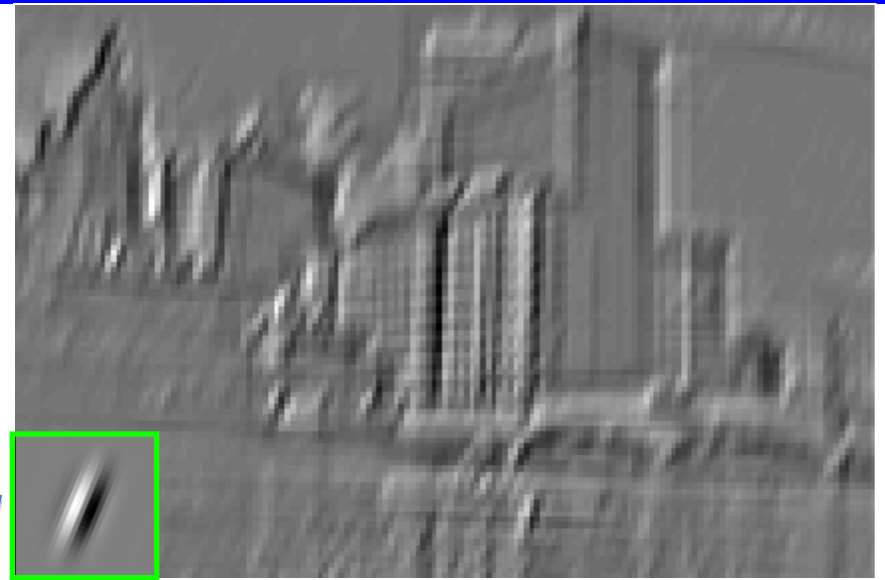


# CONVOLUTIONAL LAYER



Convolution of an image (left) with an edge detector convolution kernel (middle). Right is the output.





The convolution operation (slide adopted from [1])

# CNNs: A BIT OF HISTORY



Fukushima-1980



The first **CNN**, LeNet, to read and understand hand-written checks in the US.

1993

The first **RNN**

1997

Deep Learning stagnation and inactivity.

**Reasons:**

- Lack of large-scale training data
- Lack of high performance computational resources
- Difficulties to train Deep Neural Networks
- Availability of highly accurate and easy-to-use ML methods, such as SVM, Naïve Bayes



**Hinton, G.E.**, Osindero, S. and Teh, Y.W., 2006. A fast learning algorithm for deep belief nets. *Neural computation*, 18(7), pp.1527-1554.

2006

**CNN** (AlexNet, ZFNet, VGG) won several competitions in Image Classification, Object Recognition.

ResNet (2015): Error ~ 3.57

2012-2015

<http://yann.lecun.com/exdb/lenet/>

Advantages	Disadvantages
Automatic feature extraction: It reduces the need for feature engineering, one of the most time-consuming parts of machine learning practice	It requires a large amount of data. If we only have thousands of examples, deep learning is unlikely to outperform other approaches
Multi-layer feature representation/learning	It is extremely computationally expensive to train. Complex models take weeks to train. We do need GPUs to speed up the process
More accurate learning methods	Deep learning algorithms do not have much in the way of strong theoretical foundation
Can be adapted to new problems relatively easily	What is learned is not easy to comprehend. Other classifiers (e.g. decision trees, logistic regression, etc.) make it much easier to understand what's going on

# AI ADOPTION IN HEALTHCARE

AI Index

Relatively low  Relatively high

	Overall AI index	MGI Digitization Index <sup>1</sup>	Assets			Usage					Labor		
			Depth of AI technologies	AI spend	Supporting digital assets	Product development	Operations	Supply chain and distribution	Customer experience	Financial and general management	Workforce management	Exposure to AI in workforce	AI resources per worker
High tech and telecommunications													
Automotive and assembly													
Financial services													
Resources and utilities													
Media and entertainment													
Consumer packaged goods													
Transportation and logistics													
Retail													
Education													
Professional services													
Health care													
Building materials and construction													
Travel and tourism													



<sup>1</sup> The MGI Digitization Index is GDP weighted average of Europe and United States. See Appendix B for full list of metrics and explanation of methodology.

# AI ADOPTION IN HEALTHCARE

## Challenges of AI in Healthcare

- Inadequate understanding of what a given type of AI technology can or can't do
- Shortage of trained workforce
- Difficulty in deployment
- Ambiguous regulatory guidelines
- Concerns regarding privacy and security



# 10 PROMISING AI APPLICATIONS IN HEALTHCARE

APPLICATION	POTENTIAL ANNUAL VALUE BY 2026	KEY DRIVERS FOR ADOPTION
Robot-assisted surgery	\$40B	Technological advances in robotic solutions for more types of surgery
Virtual nursing assistants	20	Increasing pressure caused by medical labor shortage
Administrative workflow	18	Easier integration with existing technology infrastructure
Fraud detection	17	Need to address increasingly complex service and payment fraud attempts
Dosage error reduction	16	Prevalence of medical errors, which leads to tangible penalties
Connected machines	14	Proliferation of connected machines/devices
Clinical trial participation	13	Patent cliff; plethora of data; outcomes-driven approach
Preliminary diagnosis	5	Interoperability/data architecture to enhance accuracy
Automated image diagnosis	3	Storage capacity; greater trust in AI technology
Cybersecurity	2	Increase in breaches; pressure to protect health data

# PREDICTING CRT RESPONDERS: ENSEMBLE OF ENSEMBLE MACHINE LEARNING METHODS



Yong-Mei Cha, M.D.



Che Ngufor, PhD



Hongfang Liu, Ph.D.



Ahmad P. Tafti, PhD

# PROBLEM STATEMENT

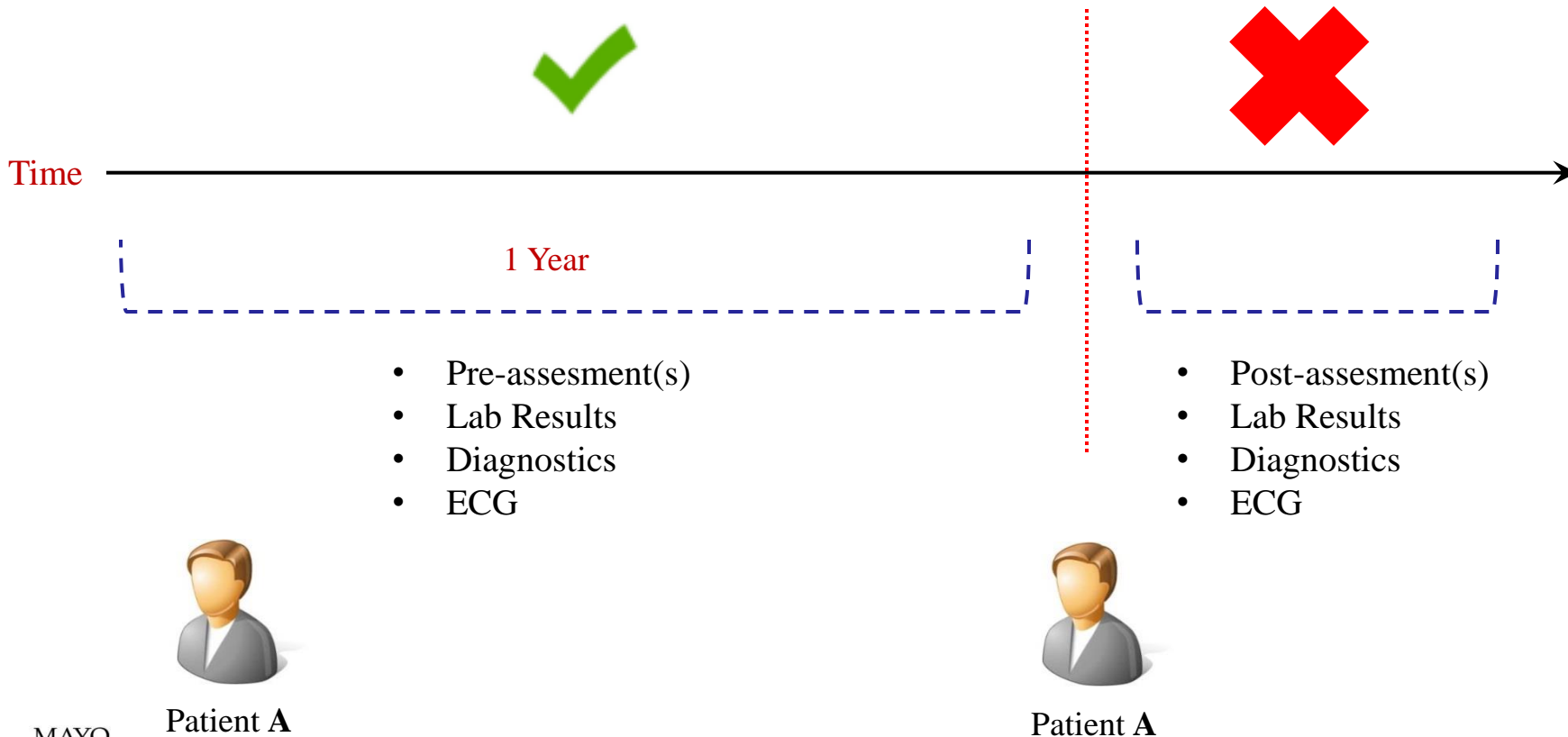
- Cardiac resynchronization therapy (CRT) efficacy has been widely studied in the medical literature; however, about 30% of candidates still continue to fail to respond to this highly effective treatment strategy.
- We will be exploring the use of ensemble of ensemble machine learning methods combined with multiple clinical data to implement a risk stratification tool for patients implanted with a cardiac resynchronization device.
- Risk stratification tool for patients implanted with a cardiac resynchronization device can enable precise understanding of the phenotypes of responders and measures of response to CRT.

# AIM

- To build a machine learning-based predictive model to predict CRT responders using multiple clinical data.

# How We Processed the Data?

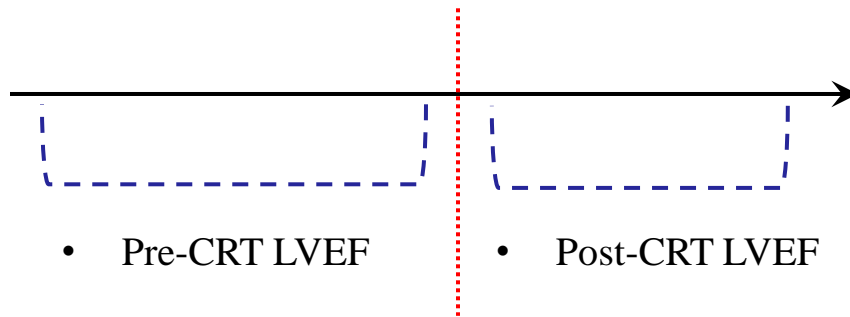
$T_{\text{CRT}}$ : CRT Implant



# Who Is a CRT Responder?

$T_{CRT}$ : CRT Implant

Time



- Pre-CRT LVEF
- Post-CRT LVEF

$$Post_{CRT}LVEF - Pre_{CRT}LVEF > 10\%$$



Patient A



# Ensemble of Ensemble Machine Learning Methods

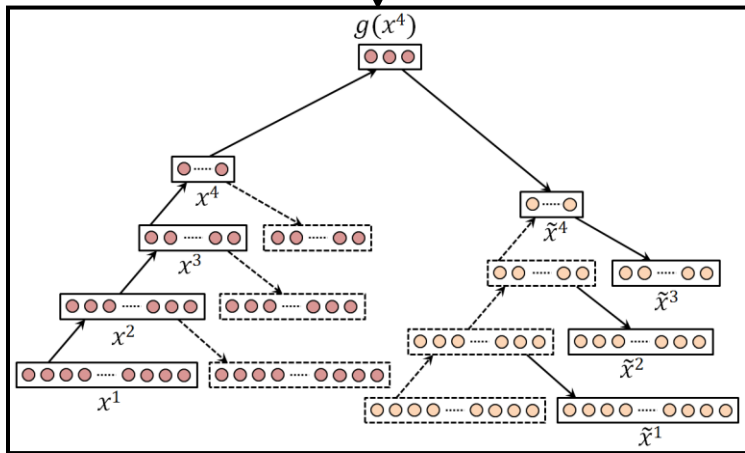
1,664 patients data records  
Number of variables: 487

## $\mathcal{X}$ (Data Variables)

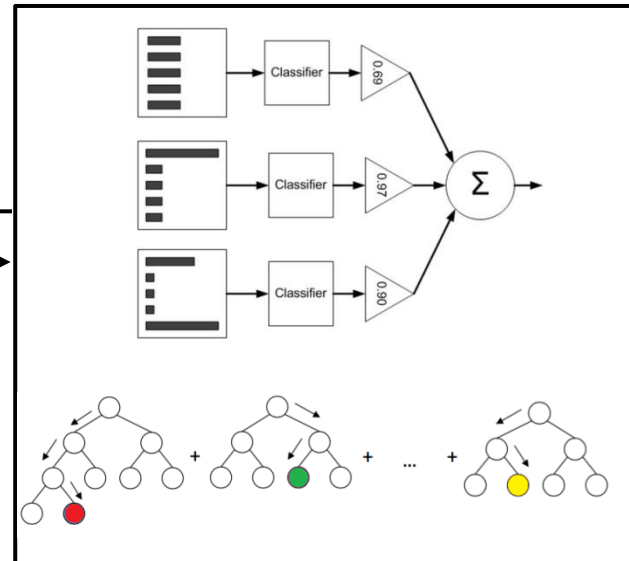
- **Patients demographics** (e.g., age, gender)
- **CRT data** (e.g., Pre-CRT LVEF, ICM vs DCM, Pre-CRT NYHA, etc.)
- **Lab Results data** (e.g., urine analysis, etc.)
- **Diagnostics data** (e.g., Alcohol-related disorders, Tuberculosis, etc.)
- **ECG structured data** (e.g., hear-rate, QTC, etc.)

$\mathcal{X}$

Re-configuration

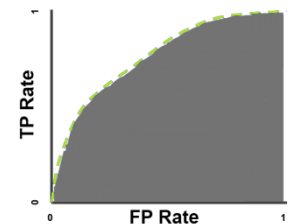


**Feature extraction/Feature ranking**  
[Stacked Contractive Autoencoder]



**Ensemble of Ensemble Supervised Learning**  
[Bagging, Adaboost using XGBoost]

F-measure  
and ROC area  
are  
promising?



## Results (Summary) (Contd.)

TP Rate	FP Rate	Precision	Recall	F-Measure	ROC Area
0.739	0.261	0.721	0.739	0.734	0.758

# Results (Summary)

## Top Relevant Features:

- 1) Pre\_CRT LVEF
- 2) Pre-CRT MR grade
- 3) Pre-CRT LV end-diastolic dimension
- 4) Pre-CRT LVEDV
- 5) % paced at time of follow up (3 months)
- 6) QRS (0=None/normal, 1-LBBB...)
- 7) ACE/ARB
- 8) Upgrade from device (0= PM 1= ICD2=GR 9= no prev device)
- 9) Pre-CRT size
- 10) ICM vs DCM
- 11) 2002-ROCLIS\_last\_observation (Erythrocytes)
- 12) 2373-ROCLIS\_last\_observation (Bilirubin, Total, S)
- 13) 5423-ROCLIS\_last\_observation (Appearance.....)
- 14) 2794-ROCLIS\_last\_observation (Bicarbonate, P/S,)
- 15) 5428-ROCLIS\_last\_observation (Protein)
- 16) 6579-ROCLIS\_last\_observation (Protein/Osmolality)
- 17) 80198-ROCLIS\_last\_observation (LDL, Calculated)
- 18) 81793-ROCLIS\_last\_observation (BUN (Bld Urea Nitrogen))
- 19) 8476-ROCLIS\_last\_observation (Glucose(P))
- 20) 9236-ROCLIS\_last\_observation (Prothrombin Time(P))
- 21) 2093-ROCLIS\_median (Monocytes..)
- 22) 2097-ROCLIS\_median (Platelet Count)
- 23) 81793-ROCLIS\_median (BUN (Bld Urea Nitrogen))
- 24) 9236-ROCLIS\_median (Prothrombin Time(P))
- 25) CCS\_Code\_2616 (E Codes: Adverse effect of medical care)
- 26) CCS\_Code\_57 (Immunity DX)
- 27) CCS-Code-149 (Biliary Disease)
- 28) CCS\_Code\_660 (Alcohol-related disorder)
- 29) Number\_of\_times\_ECG\_occurred\_before\_CRT\_implanted
- 30) Last\_PR\_WAVE\_NBR

Baseline CRT data + Demographics

Lab results

Diagnostics

ECG structured data

# DEEP LEARNING COMPUTATIONAL VISION ADOPTION IN KNEE TJA RESEARCH



Hilal Maradit Kremers, M.D.



Michael J. Taunton, M.D.  
Orthopedic Surgeon



David G. Lewallen, M.D.  
Orthopedic Surgeon



Sunghwan Sohn, Ph.D.



Hongfang Liu, Ph.D.



Ahmad P. Tafti, Ph.D.

# PROBLEM STATEMENT

- Osteoarthritis (OA) is the most common joint disease across the world, and knee OA takes more than 80% of the disease which influences at least 23% of Americans. Unfortunately, there are no effective treatment strategies for knee OA except the Total Joint Arthroplasty (TJA).
- After a TJA surgery, boneless, infection and other complications can happen around prosthesis.
- Currently, knee OA severity and TJA follow-up are diagnosed by examining the symptomatic joint and X-ray radiographic.
- However, the radiologist and surgeons are facing with an overwhelming amount of X-ray images in a daily basis. That being said, the increasing prevalence of knee OA and knee TJA as a serious consequence, means there is a pressing need to develop effective artificial intelligence-enabled mechanisms to help the radiologist and clinicians make the diagnosis more efficient and choose a precious treatment path in a timely fashion.

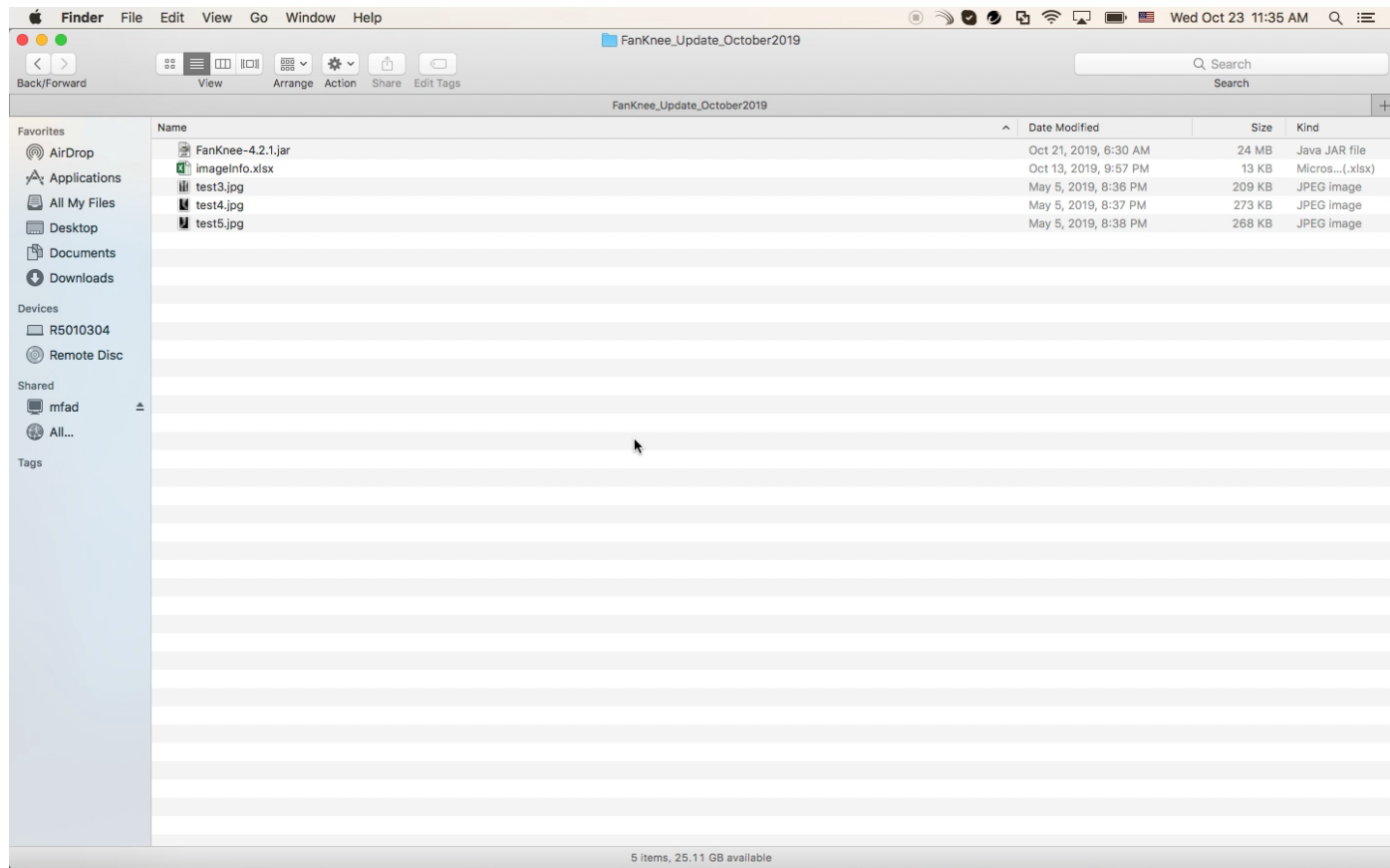


# AIMS

- Establishing a knee TJA cohort, and computationally assemble an intensive fully-annotated radiographic dataset through the institutional TJA registry.
- Develop, train, validate, and test advanced deep learning computational vision algorithms for autonomous detection (presence-absence), localization (zone, location), and characterization (fractures, lucency, implant loosening, infection) of selected TJA complications in total knee arthroplasty radiographs.
- Assess the validity of automated deep learning-enabled radiographic markers as surrogates of revision surgery.

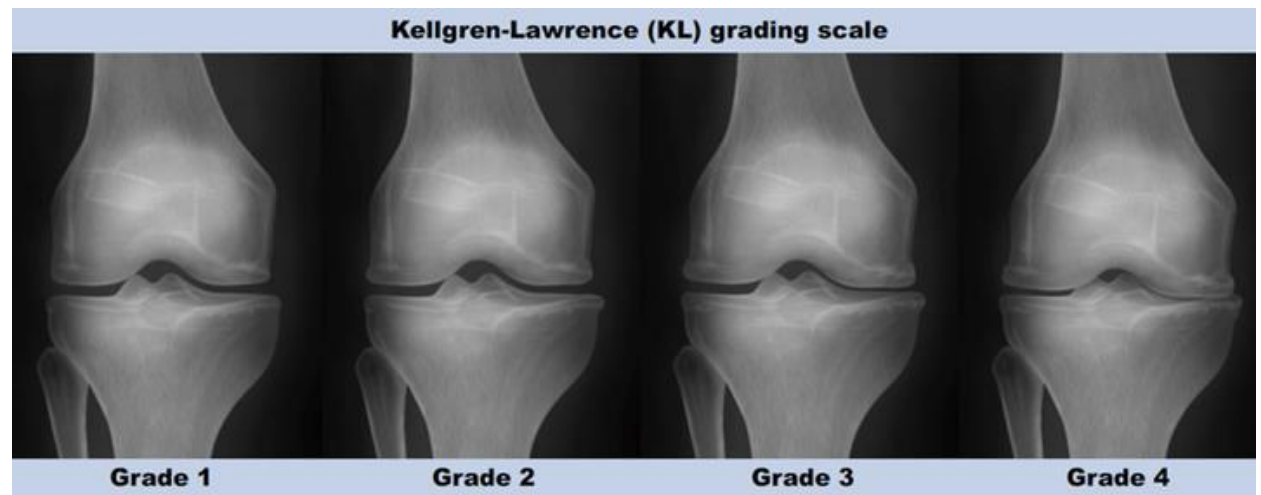
# OUR SO FAR CONTRIBUTIONS: FANKNEE

FanKnee: an open-source toolkit to make fully-annotated datasets using plain radiographs



# OUR SO FAR CONTRIBUTIONS: DEEPFUSEDKNEE

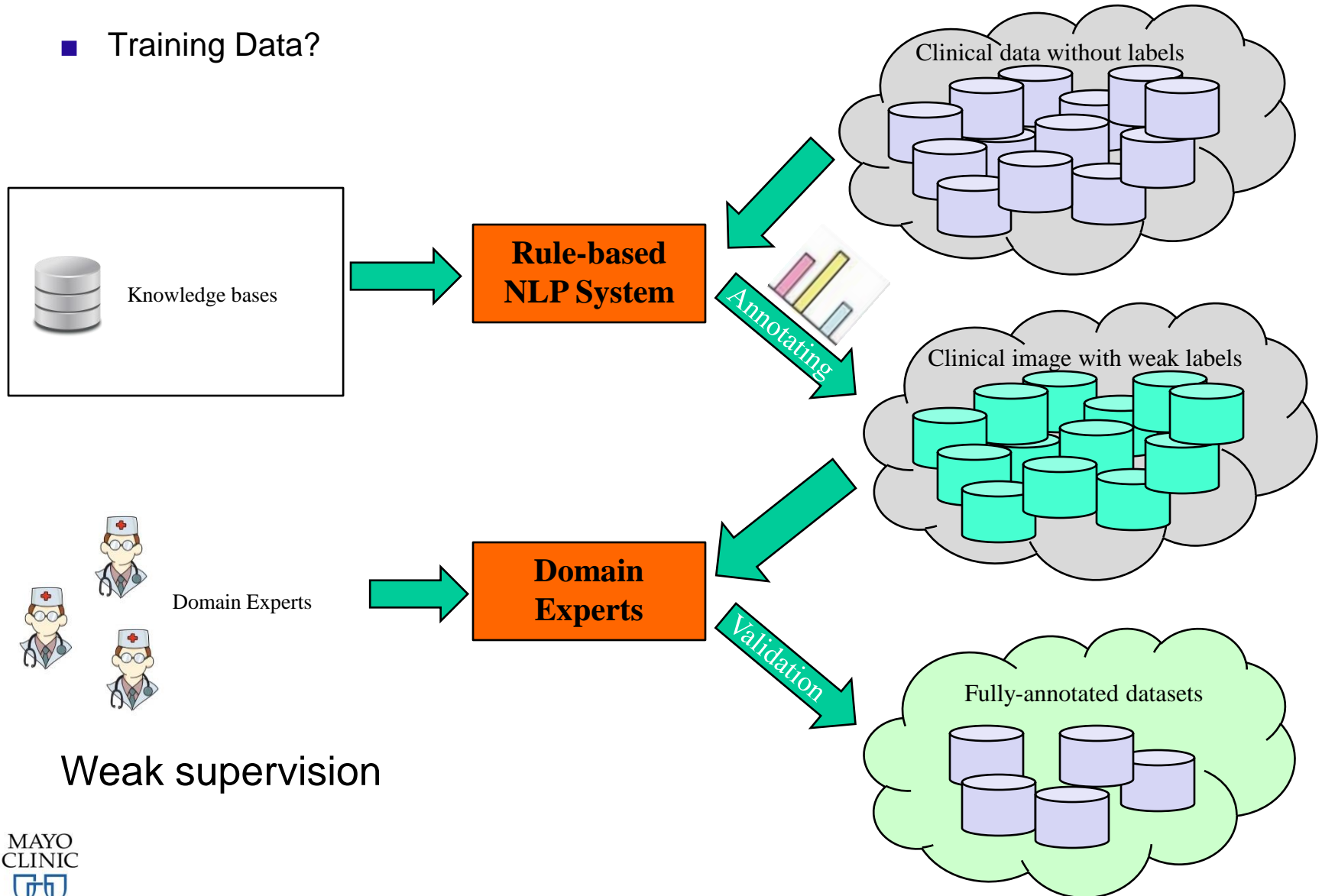
- Task
  - Quantify the severity of knee OA
  - Understanding it as a 5 classes classification task



- Data Source
  - Osteoarthritis Initiative (OAI) + Mayo Clinic Data
  - About 4000 patients

# OUR SO FAR CONTRIBUTIONS: DEEPFUSEDKNEE

## ■ Training Data?



# OUR SO FAR CONTRIBUTIONS: DEEPFUSEDKNEE

## Knee joint space localization using plain radiographs: a deep learning method

### U-Net Architecture

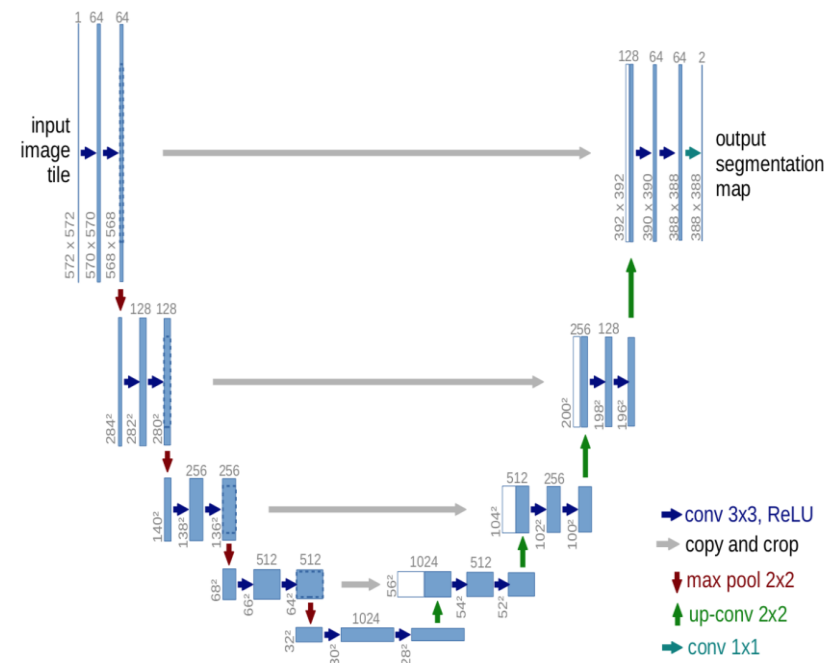
Contains set of down-sampling layers

### Training details:

Overall 9,093 images

6,200 for training

~2,900 for validation



<https://arxiv.org/pdf/1505.04597.pdf>

# RESULTS

X-ray Image



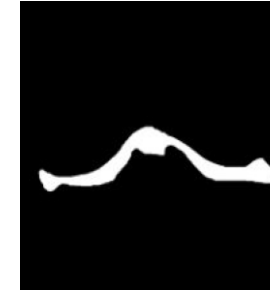
Prediction



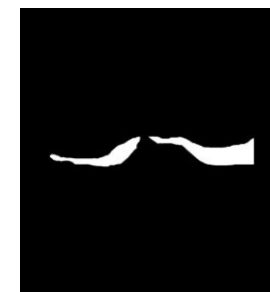
Ground Truth



IOU Score= 0.97



IOU Score= 0.94



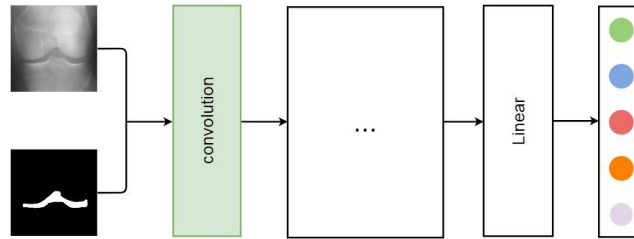
IOU Score= 0.93



# OUR SO FAR CONTRIBUTIONS: DEEPFUSEDKNEE

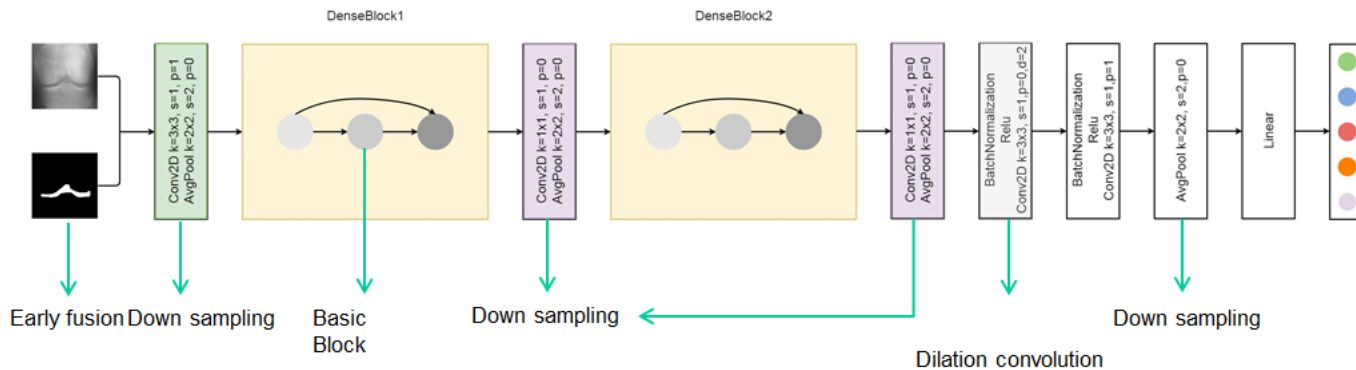
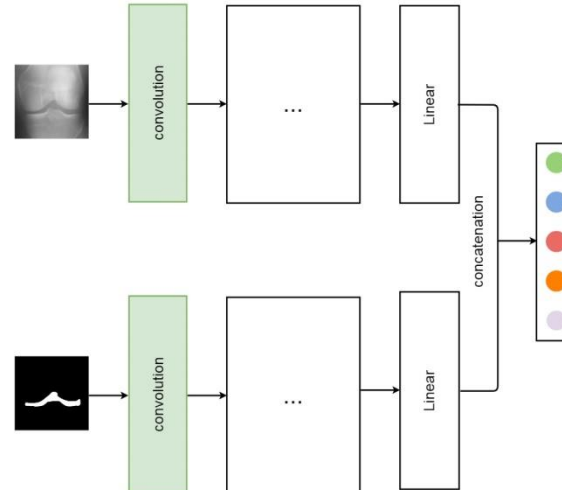
## Early fusion strategy:

If the original image resolution is  $256 \times 256 \times 3$ , after early fusion, you will have  $256 \times 256 \times 6$



## Late fusion strategy:

Feed images into two same pipeline, then concatenate the linear layer.

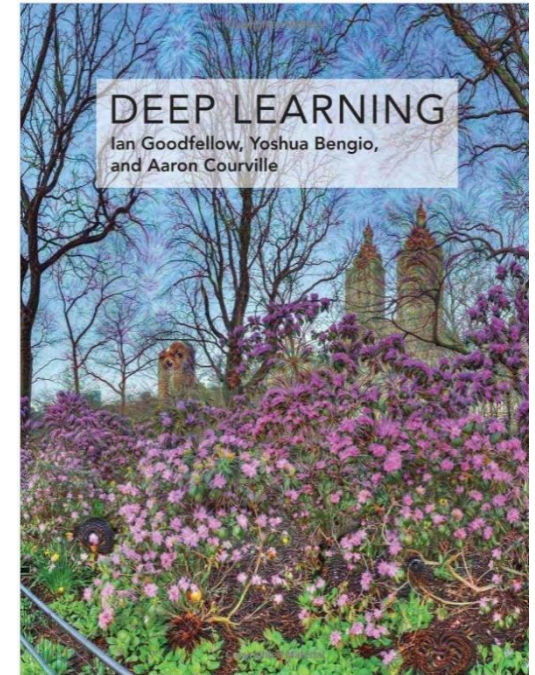
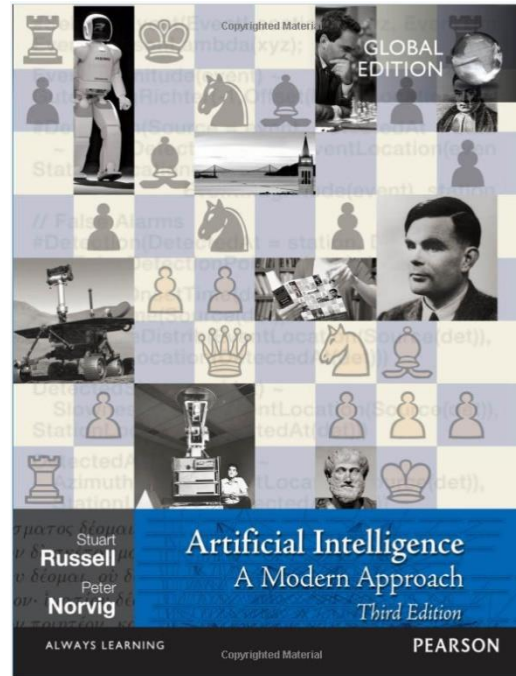
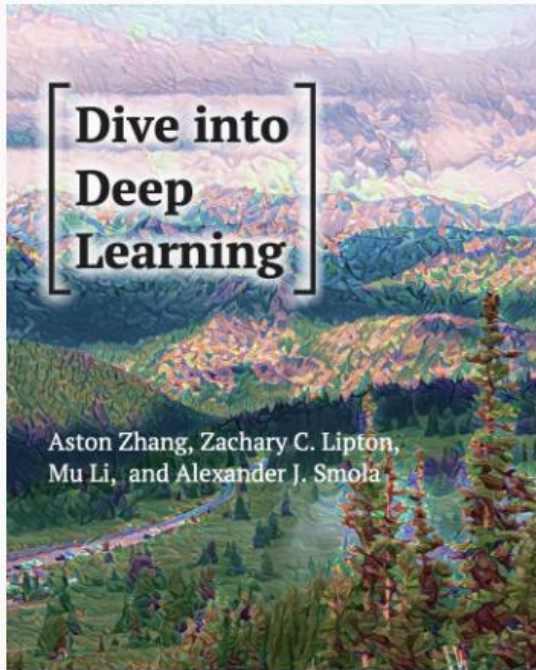


# OUR SO FAR CONTRIBUTIONS: DEEPFUSEDKNEE

- We split the data by patient ID with the ratio 70%:15%:15%

Class	Accuracy	Precision	Recall	F1 Score
1	88.25%	0.75	0.79	0.77
2	84.5%	0.67	0.75	0.71
3	86.25%	0.75	0.68	0.71
4	90.5%	0.84	0.77	0.80

# MORE READINGS



Thank You!

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